## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.

: To be assigned

Filed:

September 23, 2005

International Application No.

: PCT/EP2004/003258

Inventor

: Marcel Vos et al.

International Filing Date

: March 26, 2004

Title

: Process for the Preparation of Poly(silyl ester)s, and Their Uses

Attorney Docket No.

: 104991-160608

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO/SB/08. Copies of the listed foreign patent documents and non-patent literature documents are enclosed.

Document No. 3 is a Japanese patent document. An English abstract is attached to a copy of the document.

Document No. 6 is in Russian. As described on page 2 of the specification, this article describes running transesterification reactions at high temperatures (190-210°C).

10/550834

JC09 Rec'd PCT/PTO 23 SEP 2005

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning a copy to Applicants.

This Information Disclosure Statement is filed within three months of the date of entry of the national stage or before the mailing of a first Office action on the merits and therefore, no fee is necessary. However, the Commissioner is still authorized to charge any required fees to Goodwin Procter LLP Deposit Account No. 06-0923.

Date: September 23, 2005

Respectfully submitted,

Louis S. Sorell (Reg. No. 32,439)

Goodwin Procter LLP 599 Lexington Avenue New York, NY 10022

(212) 813-7421

10/550834

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form	1449/PTO	Complete if Known					
		Application NG99 Ref	'd PCT/PTO 23 SEP 200				
	ATION DISCLOSURE	First Named Inventor	Marcel Vos et al.				
	ENT BY APPLICANT	Art Unit					
(Use a	as many sheets as necessary)	Examiner Name					
neet 1	of 1	Attorney Docket Number	104991-160608				

		<u> </u>	U. S. PATEN	T DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	<sup>US-</sup> 2002/0156224	10/24/2002	Hidetaka Arimura et al.		
		US-		,		
		US-				
		US-				
	-	US-				
		US-				
		US-				
		US-				
		US-				
*		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
-		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵	
	2	EP 1 260 513 A1	11/27/2002	Sigma Coatings B.V.			
	3	JP 07-070152	03/14/1995	Yokohama Rubber Co. Ltd.			
	4	WO 03/105920 A1	12/24/2003	Surmodics, Inc.		L	
						L	
	<u> </u>					L	
_							

Examiner		Date		
Signature		Considered		
Olgitatare	i i	_		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/550834

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitut	te for form 1449/PTO			re required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known				
Substitu	te tor toriii 1449/F10			Application Number JC	9 Rec'd PCT/PTO	23	SEP	200
INF	<b>DRMATION</b>	DIS	CLOSURE	Filing Date				
STA	TEMENT B	YA	PPLICANT	First Named Inventor	Marcel Vos et al.			
				Art Unit				
	(Use as many she	ets as r	iecessary)	Examiner Name				
Sheet	2	of	2	Attorney Docket Number	104991-160608			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	5	H. H. Anderson et al., J. Org. Chem. 1296 (1954)			
	6	Izv. Akad. Nauk. Ussr. Ser. Khim. 968 (1957)			
			·		

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.